

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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09/05/01



42  
7/20/01

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number:

**10746/20**

Application Number  
To be assigned

Filing Date  
**Herewith**

Examiner

Art Unit

Invention Title  
**DATA STORING SYSTEM, ISSUING  
APPARATUS, DATA PROVIDING APPARATUS  
AND COMPUTER READABLE MEDIUM  
STORING DATA STORING PROGRAM**

Inventors  
**AKASHIKA et al.**

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following reference to the attention of the Examiner. This reference is listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. Following is a concise explanation of the relevance of each of the Articles which has been prepared by applicants' Japanese counsel:
  - (1) Next generation IC card system study group, "NICS-Framework basic concept", March 2000
  - (2) Visa, "Open Platform, Overview", February 2000
  - (3) MAOSCO, "Overview of the MULTOS environment", 1997
  - (4) International application, WO 00/08611, February 17, 2000

The relevance between the above inventions and the present invention is that the above inventions and the present invention relate to a card system.

3. Copies of the cited references listed on the modified PTO form 1449 are enclosed.

Dated: *August 29 2000* By:

*Edward W. Greason*  
Edward W. Greason (Reg. No. 18,918)  
KENYON & KENYON  
One Broadway  
New York, N.Y. 10004  
(212) 425-7200 (telephone)  
(212) 425-5288 (facsimile)

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> | ATTY. DOCKET NO.<br><b>10746/20</b> | SERIAL NO.<br><b>To be assigned</b> |
|  | <b>AKASHIKA et al.</b>              |                                     |
|  | FILING DATE<br><b>Herewith</b>      | GROUP                               |

PTO  
08/650323  
08/29/00

**U. S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | PATENT<br>NUMBER | PATENT<br>DATE | NAME | CLASS | SUBCLASS | FILING<br>DATE |
|---------------------|------------------|----------------|------|-------|----------|----------------|
|                     |                  |                |      |       |          |                |
|                     |                  |                |      |       |          |                |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE                 | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|---------------------|--------------------|----------------------|---------|-------|----------|-------------|----|
|                     |                    |                      |         |       |          | YES         | NO |
|                     | WO 00/08611        | February 17,<br>2000 | PCT     |       |          |             |    |

**OTHER DOCUMENTS**

| EXAMINER<br>INITIAL |  | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.  |
|---------------------|--|---|
|                     |  | Next generational IC card system study group, "NICS-Framework basic concept", March 2000 pages 1-66 |
|                     |  | VISA, "Open Platform, Overview", February 2000, pages 1-29  |
|                     |  | MAOSCO, "Overview of the MULTOS Environment" 1997, pages 1-11                                       |

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |